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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/714,189
Applicant : Lilip Lau, William M. Hartigan
Filed : November 13, 2003
Art Unit : Unassigned
Examiner : Unassigned
Title : EXPANDABLE CARDIAC HARNESS FOR TREATING
HEART FAILURE

Docket No.: : PARCR 65988
Customer No. : 24201

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

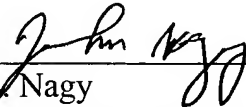
The documents listed on the enclosed Forms PTO/SB/08A have come to the attention of Applicants and are submitted to the Office under 37 C.F.R. § 1.97(c) (3) and § 1.98(d). Enclosed is a copy of two Information Disclosure Statements (each with Form PTO/SB/08A) and a Notice of References Cited (Form PTO-892) as filed in the parent application, U.S. Serial No. 09/952,116 now U.S. Patent No. 6,663,558. It is believed that these references will be helpful during examination of the application.

This application is a continuation of U.S. Serial No. 09/952,116 which issued as U.S. Patent No. 6,663,558 on December 16, 2003, which is a continuation of U.S. Serial No. 09/634,043 filed August 8, 2001. A copy of the documents listed on the

Information Disclosure Statement by Applicant, Forms PTO/SB/08A can be found in
U.S. Serial No. 09/634,043.

Respectfully submitted,

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/714,189	
	Filing Date	November 13, 2003	
	First Named Inventor	Lilip Lau	
	Art Unit	Unassigned	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission		Attorney Docket Number	PARCR 65988

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
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<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

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Firm or Individual name	FULWIDER PATTON LEE & UTECHT, LLP
Signature	
Date	January 28 2004

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Signature		Date	January 28, 2004

This collection of information is required by 37 CFR 1.6. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.